



#4 *HDS*
6/11
8/24

Attorney Docket No.: 901033-1005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Qi Xu, et al.

Serial No.: 09/808,299

Filing Date: 03/14/01

For: VORTEX-INDUCED VIBRATION REDUCTION DEVICE FOR FLUID
IMMERSED CYLINDERS

Commissioner for Patents
Washington, D.C. 20231

RECEIVED

JUN 13 2001

TO 3600 MAIL ROOM

Information Disclosure Statement

As listed on the accompanying form PTO-1449, applicants submit with this copies of the indicated references which applicants believe may be material to the examination of the above-identified application, and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Further, the filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Written notification that the enclosed references have been considered in their entirety by return of a copy of the enclosed form, completed by the Examiner, is respectfully requested.

**Identification Of Time Of Filing The
Information Disclosure Statement**

- ☐ This Information Disclosure Statement is being filed within three months of the filing date of the national application.
- ☐ This Information Disclosure Statement is being filed within three months of the date of entry of the national stage as set forth in §1.491 in an international application.
- ☒ This Information Disclosure Statement is being filed before the mailing date of the First Office Action on the merits.



[]

This Information Disclosure Statement is being filed after three months of the filing date of the national application or after three months of the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office Action on the merits, but before the mailing date of either a final action under § 1.113 or a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application.

Accompanying this transmittal is:

[] a statement specified in 37 CFR § 1.97(e); or

[] a fee set forth in 37 CFR § 1.17(p)

[] This Information Disclosure Statement is being filed after the mailing date of a final action under § 1.113, or a notice of allowance under § 1.311 but before the payment of the issue fee.

Accompanying this transmittal is:

1. a statement as specified in 37 CFR § 1.97(e); and

2. a fee set forth in 37 CFR § 1.17(p)

Statement For Information Disclosure Statement 37 CFR 1.97(E)
(Only if Required Above)

I, the person(s) signing below hereby states that:

[] first each item of information contained in the information disclosure statement was cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 CFR § 1.97(e)(1); or

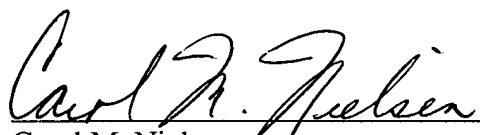
[] no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in the counterpart foreign application to the knowledge of the person signing the certification after making reasonably inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the statement. 37 CFR § 1.97(e)(2).

Fee Payment

- [] attached is a check in the amount of \$
- [] charge Deposit Account No. 07-0153
in the amount \$ _____

A duplicate of this request is attached. Applicants believe that no additional fees are due for the filing of this IDS. However, if any additional fees are due, or any overpayments have been made, please charge, or credit, Deposit Account No. **07-0153**.

Dated: June 7, 2001



Carol M. Nielsen
Attorney for Assignee
Registration No.: 37,676
Gardere Wynne Sewell LLP
3000 Thanksgiving Tower
1601 Elm Street
Dallas, Texas 75201-4761
Phone: (713) 276-5383
Fax: (713) 276-6383

CERTIFICATE OF MAILING EL 009089115 US

Date of Deposit: June 7, 2001

I certify that the accompanying paper is being deposited with the United States Postal Service and sent "Via Express Mail" service under 37 CFR 1.08 on the date indicated above and is addressed to the Commissioner for Patents, Washington, DC 20231.



Typed name: Cindee R. Ewell



6-8-01

GP 3673

TRANSMITTAL LETTER
(General - Patent Pending)Docket No.
901033-1005

In Re Application of Qi Xu, et al.

Serial No.
09/808,299Filing Date
March 14, 2001

Examiner

Group Art Unit
3673

Title:

VORTEX-INDUCED VIBRATION REDUCTION DEVICE FOR FLUID IMMERSED CYLINDERSTO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

1. Change of Address or Agent's Address in Application;
2. Information Disclosure Statement;
3. PTO Form 1449;
4. Copies of cited references; and
5. Acknowledgment Postcard.

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **07-0153** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

RECEIVED

JUN 13 2001

TO 3600 MAIL ROOM

Signature

Dated:

June 7, 2001

Carol M. Nielsen, Reg. No. 37,676
Gardere Wynne Sewell LLP
Patent Section (H)
1601 Elm Street, Suite 3000
Dallas, Texas 75201
713-276-5383 phone
713-276-5555 fax
cnielsen@gardere.com

I certify that this document and fee is being deposited
on 6/7/01 with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.

exp

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

cc:

Change Of Attorney Or Agent's Address In Application

(37 CFR 1.9(e))

Docket No.

901033-1005

In Re Application Of: Qi Xu, et al.

Serial No.
09/808,299Filing Date
March 14, 2001

Examiner

Group Art Unit
3673

Invention:

VORTEX-INDUCED VIBRATION REDUCTION DEVICE FOR FLUID IMMERSED CYLINDERSTO THE ASSISTANT COMMISSIONER FOR PATENTS

Please send all correspondence for this application to:

Carol M. Nielsen Reg. No. 37,676
Gardere Wynne Sewell LLP
Patent Section (H)
1601 Elm Street, Suite 3000
Dallas, Texas 75201

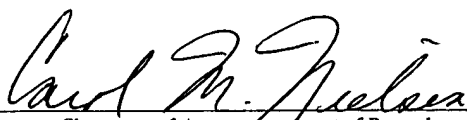
RECEIVED

JUN 13 2001

TO 3600 MAIL ROOM

Please direct all telephone calls to:

Carol M. Nielsen
Gardere Wynne Sewell LLP
713-276-5383 phone
713-276-5555 fax
cnielsen@gardere.com


Signature of Attorney or Agent of Record

Dated:

June 7, 2001

Carol M. Nielsen Reg. No. 37,676
Gardere Wynne Sewell LLP
Patent Section (H)
1601 Elm Street, Suite 3000
Dallas, Texas 75201
713-276-5383 phone
713-276-5555 fax

cnielsen@gardere.com

Registration Number & Address of Attorney or Agent of Record

I certify that this document is being deposited on
6/7/01 with the U.S. Postal Service as
express first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.



Signature of Person Mailing Correspondence

Cindee R. Ewell

Typed or Printed Name of Person Mailing Correspondence